PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) WO 99/18330 (51) International Patent Classification 6: (11) International Publication Number: A1 E21D 9/06, C09K 7/08 15 April 1999 (15.04.99) (43) International Publication Date: (81) Designated States: AU, BR, CN, JP, SG, US, European patent PCT/EP98/05905 (21) International Application Number: (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). 15 September 1998 (15.09.98) (22) International Filing Date: Published (30) Priority Data: With international search report. 2 October 1997 (02.10.97) GB 9720846.6 Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments. (71) Applicant (for all designated States except US): MBT HOLD-ING AG [CH/CH]; Vulkanstrasse 110, CH-8048 Zürich (CH). (72) Inventor; and (75) Inventor/Applicant (for US only): ELLENBERGER, Peter [CH/CH]; Rebbergstrasse 97, CH-8706 Feldmeilen (CH).

(54) Title: FOAMING COMPOSITION

(57) Abstract

A method of boring a tunnel through a stratum by means of a shield tunnelling apparatus, the process comprising the injection into the stratum at the cutting face of an aqueous material comprising: (a) from 0.005-0.05 % by weight of a polyethylene oxide of weight-average molecular weight from 2,000,000 to 8,000,000; (b) from 0.05-0.5 % by weight of a sulphate-containing anionic surfactant. The method is effective even in difficult soils.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	. ES	Spain	LS	Lesotho	SI	Slovenia
AM	Annenia	M	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	PR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BC	Bulgaria	HU	Hungary	ML	Mali	77	Trinidad and Tobago
BJ	Benin	ฮเ	Ireland	MN	Mongolin	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	
BY	Belarus	IS	Iceland	MW	Malawi		Uganda United States of America
CA	Canada	rr	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	
CG	Congo	KE	Кенуа	NL	Netherlands :	YU	Vict Nam
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Yugoslavia
Cl	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	ZW	Zimbabwe
CM	Cameroon	• • •	Republic of Korea	PL	Potand Potand		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DB	Germany	LI	Licchtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

FOAMING COMPOSITION

5 This invention relates to tunnel boring and to compositions for use therein.

Shield tunnel boring machines are increasingly used in the boring of tunnels, because they offer many advantages such as the ability to bore in a wide variety of strata. A shield boring machine comprises a circular rotatable cutting head mounted on a cylindrical shield of similar diameter such that its axis of rotation coincides with the longitudinal axis of the shield. Within the shield there are contained means for feeding materials to the cutting head and means for conveying away the soil. Such machines perform well when the strata through which a tunnel is being bored is relatively hard and firm, but they do not perform so well in soft and crumbly strata which can make soil removal very difficult.

One way of seeking to overcome this problem is to apply a consolidation agent to the soil via the cutting head. This stabilises the soil, allowing boring to be more easily effected and the soil to be more easily removed.

Known stabilising agents have included bentonite slurrys and polymer suspensions. These can lead to problems in certain soils, largely because they increase the soil water content appreciably. Some soils (such as clays) can become sticky and difficult to remove and clog up the cutting head, resulting in a substantial loss in efficiency. In a more recent development, polymer foams have been suggested. These have the advantage that considerably less fluid is placed in the soil per given volume. A typical foam formulation will comprise a foaming agent and a foam stabilising agent, the latter generally a surfactant. Thus, in operation, the foam is injected from ports in the cutting head into the face being bored.

It has now been found that a particular foaming material gives especially efficaceous results. The invention therefore provides a method of boring a tunnel through a stratum by means of a shield tunnelling apparatus, the process comprising the injection into the stratum at the cutting face of an aqueous material comprising

- (a) from 0.005-0.05% by weight of a polyethylene oxide of weight-average molecular weight from 2,000,000 to 8,000,000; and
- (b) from 0.05-0.5% by weight of a sulphate-containing anionic surfactant.

5

The invention additionally provides a foaming material adapted to assist the conveyance of soil from the cutting face of a shield tunneling apparatus, comprising

- (a) from 0.005-0.05% by weight of a polyethylene oxide of weight-average molecular weight from 2,000,000 to 8,000,000; and
 - (b) from 0.05-0.5% by weight of a sulphate-containing anionic surfactant.

Polyethylene oxides (PEO) are well-known items of commerce and a suitable material

may be selected from the wide range available. Typical examples are the "Polyox" (trade
mark) materials of Union Carbide. The anionic surfactant may be selected from any such
sulphate-containing surfactant known to the art. A particularly preferred type is
polyoxyalkylene alkyl ether sulphate, where the polyalkyleneoxide chain has an average
chain length of 2-3 alkylene oxide units. Typical commercial materials include the

"Alscope" (trade mark) series of Toho Chemical Industry Co.

Preferably the quantities of PEO and surfactant present are from 0.02 to 0.04% and from 0.15 to 0.4% by weight, respectively. Most preferably, the PEO quantity is from 0.03-0.04%.

25

The rest of the aqueous material is generally water, but other art-recognised ingredients (such as biocides and complexing agents) can be added in art-recognised quantities. In a preferred embodiment of the invention, the aqueous material additionally comprises urea, to the extent of from 0.03-0.8%, preferably 0.06-0.25% by weight. The invention therefore also provides a tunnelling foaming additive consisting essentially of polyethylene oxide, sulphate-containing anionic surfactant and urea and water.

5

Another especially useful ingredient is a foam improver. Foam improvers are materials which are well known in the art for their ability to stabilise foams. They are typically amines with long fatty acid chains derived from natural fats and oils, for example coconut oil.

The aqueous material may be prepared and stored in advance as a single material, but it is preferred to provide it as two components which are mixed prior to use. In this case, one component comprises PEO and surfactant, and the other is a dilute aqueous solution of PEO, typically at a weight concentration of from 0.007-0.015%, preferably from 0.015-0.06%. Both of course contain sufficient water to ensure that they are sufficiently fluid, and sufficient of the essential ingredients to give on mixing proportions of the size listed hereinabove - appropriate mixtures with respect to molecular weights and proportions are readily determined. The water content of the aqueous material should be such that it has a viscosity as measured by the Bohlin System 3 apparatus, using spindle 1 of from 600-1200 mPa.s., and in the case of the two component embodiment, the water contents of the individual components should be such as to achieve this. The two-component embodiment is exceptionally storage-stable.

- In operation, the aqueous material is supplied to the machine, where it is diluted to typically a 3% solution of total aqueous material in water and foamed by conventional means and injected into the tunnel face via ports in the cutting head. In addition, foam can also be injected from ports in the shield to strengthen the tunnel walls.
- 25 The invention is further described with reference to the following non-limiting worked examples.

4

Example 1

The following materials are blended

5		parts by weight
	polyoxyalkylene alkyl ether sulphate 1	8.58
	urea	5.00
	polyethylene oxide ²	1.20
	biocide ³	0.02
10	complexing agent 4	0.02
	water	85.18

^{1 &}quot;Alscope" W 140

This composition provides a concentrate for use, which use is demonstrated in Example 2.

20 Example 2

Testing of the composition of Example 1 on a soil.

The soil which is used is taken from Madrid where the tunnelling for the new metro has been especially difficult. The experiment consists of taking four 1000g-sized samples of this soil (a heavy clay), adding a material to three of these in an attempt to make it removable, agitating the mixture in a Hobart mixer for 3 minutes and then checking the viscosity by means of a Haake VT02 Viscometer. The stickiness of the material in the Hobart mixing bowl is also assessed.

² "Polyox" (trade mark) WSR-301

^{15 &}lt;sup>3</sup> "Biotack" (trade mark)

⁴ "Clewat" (trade mark)

To each of three 1000g samples are added one of the following:

- (a) 65g water
- (b) 65g of a 3% solution of a foaming agent "Rheocell" (trade mark), foamed 1:10 with air (1 volume solution to 9 volumes air)
- 5 (c) 65g of a 3% solution of the composition of Example 1 foamed 1:10 with air

In addition to the viscosity (which is an indication of the ease of soil removal from a cutting head), the stickiness of the material is assessed comparatively when cleaning out the mixing bowls. The ratings for stickiness are

10

15

- 1 very sticky, soil will not pour from bowl and is removable only with difficulty
- 2 sticky, soil will not pour from bowl, but can be removed relatively easily
- 3 not sticky, most soil pours out of bowl and the little residue remaining is easily removed.

The results are as follows:

		Viscosity (poise)	Stickiness
	mud alone	1000 1	. 1
20	(a) mud and water	300 ¹	1
	(b) mud and foaming agent	50 ²	2
	(c) mud and Example 1	50 ²	3
	composition		

25 The measurements are made using a No. 2 spindle, used for thick liquids and paste.

² A larger spindle (No.1) is used for thinner liquids and pastes.

It can be seen that the composition according to the invention gives substantial improvements in both viscosity and stickiness, even though a bigger spindle (which normally gives a higher viscosity reading than a smaller one) was used.

Example 3

Example of a two-component system

5

A two-part system is formed by using 1 part of the composition of Example 1 with up to 10 parts of water which contain 1% by weight of "Polyox" WSR-301. The proportion of this polyethylene oxide solution is adjusted, depending on the water content of the mud involved.

10

When tested according to the procedure of Example 2, the composition gives the same excellent results as that of the composition of Example 1.

Examples 4-7

15

A number of compositions according to the invention are prepared and tested according to the procedure set out in Example 2.

The compositions are shown in the following table:

20

Example No.	sulphate-	polyethylene	complexing	toam improver
	containing	<u>oxide</u>	agent	
	surfactant			
4	Zetesol NL2	Polyox	Cublen	Urea
**	ZC(CSUI 14LZ	WCR_301	K2523	Olca

5	Sulfetal	Polyox	Masquol	Aromox
	Cjot 60	WSRN-60K	P430 NA	MCD-W
6	Hostaspur	ti	Sequion	Quiminox
	OS-1		50K33	QL
7	Empicol	Polyox	Cublen	Aromox
	LX 42	WSR-301	AP1	C/12-W

The materials used are as follows:

- 5 "Zetesol" (trade mark) NL2 (ex Zschimmer & Schwarz) sodium lauryl ether sulphate.
 - "Sulfetal" (trade mark) Cjot 60 (ex Zschimmer & Schwarz) monoisopropanolammonium lauryl sulphate.
- 10 "Hostaspur" (trade mark) OS-1 (ex Clariant) sodium oleyl sulphonate.

"Empicol" (trade mark) LX 42 (ex Albright & Wilson) - sodium lauryl sulphate.

- "Polyox" (trade mark) WSRN-60K (ex Union Carbide) polyethylenexide, molecular weight (weight-average) about 2 million.
 - "Polyox" WSR-301 polyethylene oxide, molecular weight (weight-average) about 4 million.
- "Cublen" (trade mark) K2523 (ex Zschimmer & Schwarz) tripotassium hydroxyethane diphosphonic acid.

"Masquol" (trade mark) P 430 Na (ex Protex) - hexasodium ethylenediamine tetra(methylenephosphonic) acid.

"Sequion" (trade mark) 50 K 33 (ex Bozzetto) - hexapotassium ethylenediamine tetra(methylenephosphonic) acid.

"Aromox" (trade mark) C/12-W (ex Akzo-Nobel) - coco-bis-(2-hydroxyethyl) amine oxide.

10 "Aromox" MCD-W - cocodimethylamine oxide.

"Quiminox" (trade mark) QL (ex Qimidroga) cocodimethylamine oxide.

The test results obtained are rated 3, as are those of the compositions of Examples 1 and 3.

Claims:

5

- 1. A method of boring a tunnel through a stratum by means of a shield tunnelling apparatus, the process comprising the injection into the stratum at the cutting face of an aqueous material comprising
 - (a) from 0.005-0.05% by weight of a polyethylene oxide of weight-average molecular weight from 2,000,000 to 8,000,000
- 10 (b) from 0.05-0.5% by weight of a sulphate-containing anionic surfactant.
 - 2. A method according to claim 1, wherein the sulphate-containing anionic surfactant is a polyoxyalkylene alkyl ether sulphate, where the polyalkyleneoxide chain has an average chain length of 2-3 alkylene oxide units.

3. A method according to claim 1, wherein the quantities of polyethylene oxide and surfactant present in the aqueous material are from 0.02-0.04% and from 0.15-

0.4% by weight, respectively.

- 20 4. A method according to any one of claims 1-3, wherein the aqueous material additionally comprises urea.
 - 5. A method according to any one of claims 1-3, wherein the aqueous material additionally comprises a foam booster.

25

30

- 6. A foaming material adapted to assist the conveyance of soil from the cutting face of a shield tunnelling apparatus, comprising
 - (a) from 0.005-0.05% by weight of a polyethylene oxide of weight-average molecular weight from 2,000,000 to 8,000,000
 - (b) from 0.05-0.5% by weight of a sulphate-containing anionic surfactant.

Inte ional Application No PCT/EP 98/05905

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 E21D9/06 C09K7/.08

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 6 E21D C09K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	DOCUMENTS CONSIDERED TO BE RELEVANT			
Calegory *	Citation of document. with indication, where appropriate, of the relevant passages	Relevant to claim No.		
Y	WO 90 00187 A (J.PIERCE) 11 January 1990 see page 4, line 13 - line 30 see page 7, line 4 - page 9, line 14 see page 10, line 19 - page 11, line 2 see claims 1-4	1-6		
Υ -	US 4 247 405 A (D.R. WIER) 27 January 1981 see column 2, line 42 - column 3, line 60; claims 1-7; example II	1-6		
Y	US 3 215 200 A (W.H.KIRKPATRICK) 2 November 1965 see column 2, line 22 - column 3, line 23 see column 5, line 42 - line 73; claims 1,2	1-6		
A	FR 2 690 709 A (SEPPIC) 5 November 1993 see claims 1/	1-6		
		1		

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filling date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filling date but later than the priority date claimed	"T" later document published after the International filling date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
3 February 1999	10/02/1999
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer
NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Boulon, A

INTERNATIONAL SEARCH REPORT

Int tional Application No PCT/EP 98/05905

		PCT/EP 98	3/05905
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		Coloured to plaim No.
Calegory :	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
4	PATENT ABSTRACTS OF JAPAN vol. 008, no. 098 (C-221), 9 May 1984 & JP 59 015476 A (SUMITOMO KAGAKU KOGYO KK), 26 January 1984 see abstract		1-6
!	•		
		•	
		•	
,			
•		·	
			•
		. •	

INTERNATIONAL SEARCH REPORT

information on patent family members

Inte onal Application No
PCT/EP 98/05905

	itent document	•	Publication date	1	Patent family	Publication date
cited in search report			uate		member(s)	. uate
WO	9000187	Α	11-01-1990	US	4959164 A	25-09-1990
				AU	609689 B	02-05-1991
				AU	3705789 A	04-01-1990
				US	5196401 A	23-03-1993
US	4247405	Α	27-01-1981	NON		
UŞ	3215200	Α	02-11-1965	NONE	- - -	
FR	2690709	Α	05-11-1993	AT	142743 T	15-09-1996
				AU	4263393 A	29-11-1993
				DE	69304691 D	17-10-1996
				DE	69304691 T	13-02-1997
				DK	638137 T	30-09-1996
				EP	0638137 A	15-02-1995
				ES	2092307 T	16-11-1996
				WO	9322538 A	11-11-1993
				GR	3021184 T	31-12-1996
				NO	944051 A	27-12-1994